



## UNITED STATES PATENT AND TRADEMARK OFFICE

---

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

June 20, 2006

FISH & RICHARDSON PC  
P.O. BOX 1022  
MINNEAPOLIS, MN 55440-1022  
US

Dear Sir/Madam,

Your refund request for 10726071 in the amount of \$1,750.00 has been denied .

Claims withdrawn cannot be refunded.

Sincerely,



VINCENT STUART  
Technical Center Others  
703 308-9210 x119

BEST AVAILABLE COPY



Attorney Docket: 08919-109001 / 07A-920505

Finance  
PATENT MAINTENANCE  
DIVISION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2006 MAY 12 PM 3:11

Applicant : Huan-Cheng Chang et al.

Art Unit : 2881

Serial No. : 10/726,071

Examiner : Johnnie L. Smith

Filed : December 1, 2003

US PATENT & TRADEMARK  
OFFICE

Title : NANOPARTICLE ION DETECTION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR REFUND

On February 27, 2006, an excess claims fee in the amount of \$1,750.00 was charged to Fish & Richardson's Deposit Account No. 06-1050, attorney docket 08919-109001. A copy of the deposit account statement is attached. The reason for the charge is that, in the reply previously submitted on February 21, 2006, the applicant inadvertently withdrew claims that were meant to be canceled, resulting in excess claims.

A supplemental reply is being submitted to correct the status of the claims. Claims 1-29 and 32-73 have been canceled. The applicant respectfully requests that the charge of \$1,750.00 be refunded to Fish & Richardson's Deposit Account No. 06-1050, reference 08919-109001, as a credit.

Respectfully submitted,

Date: 4/19/2006

Rex Huang  
Rex I. Huang  
Reg. No. 57,661

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906  
21305969.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4/19/06  
Date of Deposit

Jamie Kelaher  
Signature

Jamie Kelaher  
Typed or Printed Name of Person Signing Certificate

## Deposit Account Statement

Page 29 of 32



02/24 418	11231397	10559-293002	8021	\$40.00	\$1:
02/24 419	11293593	10559-808002	8021	\$40.00	\$1:
02/24 423	11287121	10280-122001	8021	\$40.00	\$1:
02/24 556	11263530	15825-212001	8021	\$40.00	\$1:
02/24 563	PCT/US06/05851	00254-033W01	8007	\$20.00	\$1:
02/24 625	29241194	2894-732001	8021	\$40.00	\$1:
02/24 710	11257589	19769-013001	8021	\$40.00	\$1:
02/24 713	60698414	14174-090P03	8021	\$40.00	\$1:
02/24 756	11235385	14174-87001	8021	\$40.00	\$1:
02/24 757	11235385	14174-87001	8021	\$40.00	\$1:
02/24 760	11022359	20183-002001	8021	\$40.00	\$1:
02/24 766	11235385	14174-87001	8021	\$40.00	\$1:
02/24 783	11269158	14834-016001	8021	\$40.00	\$1:
02/24 802	11296677	10276-015003	8021	\$40.00	\$1:
02/24 894	10882518	12406-078005	8021	\$40.00	\$1:
02/24 895	6469321	12406-078005	8021	\$40.00	\$1:
02/24 896	6573580	12406-078005	8021	\$40.00	\$1:
02/24 897	6759733	12406-078005	8021	\$40.00	\$1:
02/24 901	10882518	12406-078005	8021	\$40.00	\$1:
02/24 902	6759733	12406-078005	8021	\$40.00	\$1:
02/24 935	11313509	08935-341001	8021	\$40.00	\$1:
02/24 937	11323164	08935-333001	8021	\$40.00	\$1:
02/24 941	10542974	14219-093051	8021	\$40.00	\$1:
02/24 1034	10529263	13460-003US1	8021	\$40.00	\$1:
02/24 1119	78822380	02038-034001	7001	\$325.00	\$1:
02/24 1180	10623581	7977-24003	8021	\$40.00	\$1:
02/24 1191	60748653	9712-408P01	8021	\$40.00	\$1:
02/24 1205	10535473	18017-005US1	8021	\$40.00	\$1:
02/24 1286	D499253	13213-051002	8021	\$40.00	\$1:
02/24 1326	60719216	6975-703P01	8021	\$40.00	\$1:
02/24 1357	11287595	15609-071001	8021	\$40.00	\$1:
02/24 1396	11289838	02103-741001	8021	\$40.00	\$1:
02/24 1532	10869719	05542-315005	8021	\$40.00	\$1:
02/24 1542	11190255	19340-002001	8021	\$40.00	\$1:
02/24 1543	11232356	19340-003001	8021	\$40.00	\$1:
02/24 1581	11170940	13913-274001/2004P00959US	8021	\$40.00	\$1:
02/24 1609	11265908	14131-034001	8021	\$40.00	\$1:
02/27 1	10685590	00167-460001	1201	\$200.00	\$1:
02/27 2	10685590	00167-460001	1202	\$50.00	\$1:
02/27 2	10824305	10559-927001/P18716	1201	\$400.00	\$1:
02/27 3	10726071	08919-109001	2202	\$1,750.00	\$1:
02/27 4	09578108	7890-82001	9204	-\$145.00	\$1:
02/27 4	10726071	08919-109001	2201	\$200.00	\$1:
02/27 5	10997675	13425-153001	1814	\$130.00	\$1:
02/27 7	09578108	7890-82001	9204	-\$1,170.00	\$1:
02/27 10	09578108		9204	-\$160.00	\$1:
02/27 11	09578108		9204	-\$205.00	\$1:
02/27 12	09578108		1252	\$410.00	\$1:



Finance

Dep & Ref

Attorney Docket: 08919-109001 / 07A-920505

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Huan-Cheng Chang et al.

Art Unit : 2881

Serial No. : 10/726,071

Examiner : Johnnie L. Smith

Filed : December 1, 2003

Title : NANOPARTICLE ION DETECTION

**Mail Stop Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL REPLY TO ACTION DATED NOVEMBER 18, 2006

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

4/19/06

Signature

Jamie Kelaher

Typed or Printed Name of Person Signing Certificate

Jamie Kelaher

Amendments to the claims (this listing replaces all prior versions):

1-29. (canceled)

30. (original) A method comprising:

selectively ejecting ions out of a mass selection device based on mass-to-charge ratios of the ions;

using an ion trap to collect the ions ejected from the mass selection device;

detecting light emitted from the ions in the ion trap to generate a detection signal; and

correlating the detection signal with characteristics of the mass selection device to determine a mass spectrum on the ions in the ion trap.

31. (original) The method of claim 30, further comprising directing a laser toward ions in the ion trap to induce fluorescence, and detecting light emitted from the ions comprises detecting the fluorescence emitted from the ions.

32-73. (canceled)

74. (previously presented) The method of claim 30 in which the mass selection device comprises an ion trap.

75. (previously presented) The method of claim 30, further comprising applying a first time-varying signal to the mass selection device, and sweeping a frequency of the first time-varying signal from a first frequency to a second frequency to cause particles having different mass-to-charge ratios to be ejected from the mass selection device at different frequencies of the first time-varying signal.

76. (previously presented) The method of claim 75 in which the frequency of the first time-varying signal is scanned according to a non-linear function of time so that the mass-to-charge ratios of the particles ejected from the mass selection device comprises a linear function of time.

77. (previously presented) The method of claim 75, further comprising applying a second time-varying signal to the ion trap that collects the ions ejected from the mass selection device, and sweeping a frequency of the second time-varying signal based on the sweeping of the frequency of the first time-varying signal.

78. (previously presented) The method of claim 30 in which at least some of the ions that are detected have dimensions larger than 10 nm.

79. (previously presented) The method of claim 30 in which at least some of the ions that are detected have masses larger than 1,000,000 Dalton.

80. (previously presented) The method of claim 30 in which at least some of the ions that are detected have mass/charge ratios larger than 1,000,000.

81. (previously presented) The method of claim 30, further comprising ejecting the ions from the ion trap at selected time periods.

82. (previously presented) The method of claim 81 in which ejecting the ions from the ion trap is selected so that the light that is detected between two ejections of the ions represents an amount of ions having mass-to-charge ratios within a particular range

83. (previously presented) The method of claim 30, further comprising applying a time-varying voltage signal to the ion trap that collects the ions.

84. (previously presented) The method of claim 83, further comprising scanning a frequency of the time-varying voltage signal to tend to keep the ions collected by the ion trap in the ion trap.

85. (previously presented) The method of claim 84 in which the frequency of the time-varying voltage signal is scanned so as to maintain a trap parameter ( $\bar{q}_z$ ) of the ion trap substantially constant with respect to the particles collected by the ion trap.

86. (previously presented) The method of claim 85 in which the trap parameter  $q_z$  is proportional to the amplitude of the time-varying voltage signal and inversely proportional to the square of the frequency of the time-varying voltage signal.

87. (previously presented) The method of claim 30 in which the ions ejected out of the mass selection device have velocities that vary according to a predetermined function of time.

88. (previously presented) The method of claim 87, further comprising generate a time-varying electromagnetic field in the ion trap, and scanning a frequency of the time-varying electromagnetic field to tend to keep the ions in the ion trap.

89. (previously presented) The method of claim 88 in which the scanning of the frequency of the time-varying electromagnetic field is based on the predetermined function of time.

90. (previously presented) The method of claim 30 in which the characteristics of the mass selection device comprise a relationship between mass-to-charge ratios of particles ejected from the mass selection device and a time-varying control signal applied to the mass selection device.

91. (previously presented) The method of claim 30, further comprising applying a time-varying signal to the ion trap to generate a time-varying electromagnetic field to keep the ions within the ion trap.

92. (previously presented) The method of claim 91, further comprising turning off the time-varying signal at selected time periods to remove substantially all of the ions from the ion trap.

93. (previously presented) The method of claim 91, further comprising applying a direct-current voltage signal to the ion trap at selected time periods to induce an electromagnetic field that facilitates removal of the ions from the ion trap.

94. (previously presented) The method of claim 30 in which detecting the fluorescence comprises counting photons emitted from the ions.

95. (previously presented) The method of claim 30, further comprising directing a laser to a sample to ionize particles and supplying the particles to the mass selection device.

96. (previously presented) The method of claim 30, further comprising using electrospray ionization to generate the ions and supplying the ions to the mass selection device.

97. (previously presented) The method of claim 30, further comprising using photo-ionization to generate the ions and supplying the ions to the mass selection device.

98. (previously presented) The method of claim 30, further comprising directing a laser beam towards the ions in the ion trap, the laser beam having a wavelength selected to induce fluorescence from the ions.

99. (previously presented) The method of claim 30, further comprising tagging the ions with fluorescent dye molecules.

100. (previously presented) The method of claim 30, further comprising tagging the ions with more than one type of fluorescent dye molecules that emit fluorescence having different wavelengths.



101. (previously presented) The method of claim 100, further comprising illuminating the ions collected at the ion trap using a light beam with components having different wavelengths that are selected to induce fluorescence having different wavelengths from the different types of fluorescent dye molecules.

102. (previously presented) The method of claim 101, further comprising generating a mass spectrum for each group of particles tagged with a particular type of fluorescent dye molecules.

103. (previously presented) The method of claim 30, further comprising selectively applying a direct-current voltage signal to the ion trap to cause the ions to be ejected from the ion trap.

104. (previously presented) The method of claim 103 in which the polarity of the direct-current voltage depends on the polarity of the charges of the ions.

105. (previously presented) The method of claim 104, further comprising applying a time-varying voltage signal to the ion trap to create a time-varying electromagnetic field in the ion trap.

106. (previously presented) The method of claim 105, further comprising selectively turning off the time-varying voltage signal when the direct-current voltage signal is applied to the ion trap.

107. (previously presented) An apparatus comprising:  
a mass selection device to selectively eject charged particles based on mass-to-charge ratios of the charged particles;  
an ion trap to receive the charged particles ejected from the mass selection device;  
a detector to detect light emitted from the charged particles in the ion trap to generate a detection signal; and  
a data processor to correlate the detection signal with characteristics of the mass selection device to determine a mass spectrum on the charged particles in the ion trap.

108. (previously presented) The apparatus of claim 107 in which the mass selection device comprises an ion trap.

109. (previously presented) The apparatus of claim 107 in which the ion trap comprises a ring electrode, a first end-cap electrode, and a second end-cap electrode, the charged particles entering the ion trap through a hole in the first end-cap electrode and exiting the ion trap through a hole in the second end-cap electrode.

110. (previously presented) The apparatus of claim 107, further comprising a signal generator to generate a time-varying voltage signal, which when applied to the ion trap, generates a time-varying electromagnetic field in the ion trap to cause the particles ejected from the mass selection device to be trapped in the ion trap.

111. (previously presented) The apparatus of claim 107 in which the detector comprises a photomultiplier tube.

112. (previously presented) The apparatus of claim 107 in which the charged particles are fluorescent.

113. (previously presented) The apparatus of claim 107 in which the charged particles are tagged with fluorescent dye molecules.

114. (previously presented) The method of claim 107 in which at least some of the charged particles that are detected have dimensions larger than 10 nm.

115. (previously presented) The method of claim 107 in which at least some of the charged particles that are detected have masses larger than 1,000,000 Dalton.

116. (previously presented) The method of claim 107 in which at least some of the charged particles that are detected have mass/charge ratios larger than 1,000,000.

117. (previously presented) The apparatus of claim 107, further comprising a laser source to generate a laser beam that is directed towards the particles in the ion trap.

118. (previously presented) The apparatus of claim 117 in which the laser beam has a wavelength selected to induce fluorescence from the charged particles.

119. (previously presented) The apparatus of claim 107, further comprising a signal generator to generate a time-varying signal that is applied to the mass selection device.

120. (previously presented) The apparatus of claim 119 in which the signal generator scans a frequency of the time-varying voltage signal from a first frequency to a second frequency during a measurement cycle to cause particles to be selectively ejected from the mass selection device based on mass-to-charge ratios of the particles.

121. (previously presented) The apparatus of claim 119 in which the signal generator scans a frequency of the time-varying voltage signal so that the frequency changes according to a non-linear function of time designed so that the particles ejected out of the mass selection device during the measurement cycle have mass-to-charge ratios that vary as a linear function of time.

122. (previously presented) The apparatus of claim 107, further comprising a circuit to generate a control voltage that is applied to the ion trap to cause the ion trap to eject particles at selected times.

123. (previously presented) The apparatus of claim 122 in which the ejections of particles are spaced apart for at least a specified time period to allow the detector to detect the light from the particles.

124. (previously presented) The apparatus of claim 107, further comprising a signal generator to generate a voltage signal that is selectively applied to the ion trap to cause the charged particles in the ion trap to be ejected from the ion trap.

125. (previously presented) The apparatus of claim 124 in which the voltage signal comprises a direct-current voltage signal.

126. (previously presented) The apparatus of claim 107, further comprising a signal generator to generate a time-varying voltage signal that is applied to the ion trap that receives the charged particles.

127. (previously presented) The apparatus of claim 126 in which the signal generator scans a frequency of the time-varying voltage signal to tend to keep the charged particles received by the ion trap in the ion trap.

128. (previously presented) The apparatus of claim 126 in which the signal generator scans a frequency of the time-varying voltage signal so as to maintain a trap parameter ( $q_z$ ) of the ion trap substantially constant with respect to the particles received by the ion trap.

129. (previously presented) The apparatus of claim 128 in which the trap parameter  $q_z$  is proportional to the amplitude of the time-varying voltage signal and inversely proportional to the square of the frequency of the time-varying voltage signal.

130. (previously presented) The apparatus of claim 126 in which the charged particles ejected out of the mass selection device have velocities that vary according to a predetermined function of time.

131. (previously presented) The apparatus of claim 130 in which the signal generator scans the frequency of the time-varying control signal based on the predetermined function of time.

132. (previously presented) The apparatus of claim 107, further comprising  
a first signal generator to generate a time-varying voltage signal that is applied to the ion trap to create a time-varying electromagnetic field in the ion trap, and

a second signal generator to generate a dumping voltage signal that is selectively applied to the ion trap to cause the charged particles to be ejected from the ion trap.

133. (previously presented) The apparatus of claim 132 in which the first signal generator selectively turns off the time-varying voltage signal when the dumping voltage signal is applied to the ion trap.

134. (previously presented) An apparatus comprising:  
mass selecting means for selectively ejecting charged particles based on mass-to-charge ratios of the charged particles;  
receiving means for receiving the charged particles ejected from the mass selecting means;  
detecting means for detecting light emitted from the charged particles in the receiving means to generate a detection signal; and  
data processing means for correlating the detection signal with characteristics of the mass selecting means to determine a mass spectrum of the charged particles in the receiving means.

135. (previously presented) The apparatus of claim 134 in which the mass selecting means comprises an ion trap.

136. (previously presented) The apparatus of claim 134 in which receiving means comprises an ion trap.

137. (previously presented) The apparatus of claim 134, further comprising a laser source to direct a laser beam towards the charged particles in the receiving means to induce fluorescence that is detected by the detecting means.

138. (previously presented) The apparatus of claim 134, further comprising a signal generator to generate a time-varying voltage signal that is applied to the receiving means.

139. (previously presented) The apparatus of claim 138 in which the time-varying voltage signal generates a time-varying electromagnetic field in the receiving means to cause the particles ejected from the mass selecting means to be trapped in the ion trap.

140. (previously presented) The apparatus of claim 134, further comprising a signal generator to generate a time-varying signal that is applied to the mass selecting means.

141. (previously presented) The apparatus of claim 140 in which the signal generator scans a frequency of the time-varying voltage signal from a first frequency to a second frequency during a measurement cycle to cause particles to be selectively ejected from the mass selecting means based on mass-to-charge ratios of the particles.

142. (previously presented) The apparatus of claim 140 in which the signal generator scans the frequency of the time-varying voltage signal so that the frequency changes according to a non-linear function of time designed so that the particles ejected out of the mass selecting device during the measurement cycle have mass-to-charge ratios that vary as a linear function of time.

143. (previously presented) The apparatus of claim 134 in which the detecting means a photomultiplier tube.

144. (previously presented) The apparatus of claim 134, further comprising  
a first signal generator to generate a time-varying voltage signal that is applied to the receiving means to create a time-varying electromagnetic field in the receiving means, and  
a second signal generator to generate a dumping voltage signal that is selectively applied to the receiving means to cause the charged particles to be ejected from the receiving means,  
wherein the first signal generator selectively turns off the time-varying voltage signal when the dumping voltage signal is applied to the receiving means.

Applicant : Huan-Cheng Chang et al.  
Serial No. : 10/726,071  
Filed : December 1, 2003  
Page : 12 of 12

Attorney Docket: 08919-109001 / 07A-920505

REMARKS

Claims 1-29 and 32-73 have been canceled. The applicant submits that all pending claims are in condition for allowance.

Please apply any credits to deposit account 06-1050, reference 08919-109001.

Respectfully submitted,

Date: 4/19/2006

Rex I. Huang  
Rex I. Huang  
Reg. No. 57,661

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906



Attorney Docket: 08919-109001 / 07A-920505

FINANCE  
PATENT MAINTENANCE  
DIVISION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2006 MAY 12 PM 3:11

Applicant : Huan-Cheng Chang et al.

Art Unit : 2881

Serial No. : 10/726,071

Examiner : Johnnie L. Smith

Filed : December 1, 2003

US PATENT & TRADEMARK  
OFFICE

Title : NANOPARTICLE ION DETECTION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR REFUND

On February 27, 2006, an excess claims fee in the amount of \$1,750.00 was charged to Fish & Richardson's Deposit Account No. 06-1050, attorney docket 08919-109001. A copy of the deposit account statement is attached. The reason for the charge is that, in the reply previously submitted on February 21, 2006, the applicant inadvertently withdrew claims that were meant to be canceled, resulting in excess claims.

A supplemental reply is being submitted to correct the status of the claims. Claims 1-29 and 32-73 have been canceled. The applicant respectfully requests that the charge of \$1,750.00 be refunded to Fish & Richardson's Deposit Account No. 06-1050, reference 08919-109001, as a credit.

Respectfully submitted,

Date: 4/19/2006

Rex I. Huang  
Rex I. Huang  
Reg. No. 57,661

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906  
21305969.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4/19/06  
Date of Deposit

Jamie Kelaher  
Signature

Jamie Kelaher  
Typed or Printed Name of Person Signing Certificate



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS

☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

☒ FADED TEXT OR DRAWING

☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING

☐ SKEWED/SLANTED IMAGES

☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS

☐ GRAY SCALE DOCUMENTS

☒ LINES OR MARKS ON ORIGINAL DOCUMENT

☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**